



AI Governance Readiness Assessment

Acme Financial Services Ltd



Grade: C — Developing

Maturity: Developing

Jurisdiction	UK	Industry	Financial Services
Organisation Size	250-999	Regulated Sector	Yes

20 February 2026

Executive Summary

Overall Score

52/100

Grade

C

Maturity

Developing

Assessment Context: This assessment evaluates Acme Financial Services Ltd — a mid-sized Financial Services organisation operating primarily in the UK, with additional operations in EU, operating within a regulated sector. Analysis prioritises UK DSIT and ICO frameworks as primary regulatory benchmarks, with EU AI Act conformity obligations, with FCA/PRA model risk guidance.

Acme Financial Services demonstrates an emerging commitment to AI governance but significant gaps remain across regulatory alignment, risk management, and accountability structures. Foundational policies exist but lack operational maturity for consistent, auditable governance across business units. Immediate action on regulatory compliance is required ahead of the EU AI Act August 2026 deadline.

Maturity Assessment:

At a **Developing** maturity level (52/100), Acme Financial Services has progressed beyond initial awareness but has not yet embedded governance into operational practice. Key frameworks exist on paper but are inconsistently applied across teams deploying AI.

Regulatory Readiness:

Regulatory readiness is **partially aligned** with UK DSIT principles and ISO 42001, but EU AI Act conformity assessment gaps present material risk given the August 2026 deadline.

Key Findings

- AI register is incomplete — only 40% of AI systems across the organisation are documented, creating significant shadow AI exposure.
- No formal AI impact assessment process exists for high-risk deployments, leaving regulatory compliance gaps.
- Data governance for AI training data lacks structured lineage tracking, creating audit trail weaknesses.

Top Recommendations

- Establish a comprehensive AI register covering all AI systems, including third-party embedded AI, within 90 days.
- Implement a formal AI impact assessment framework aligned with EU AI Act risk classification before Q3 2026.
- Appoint a senior AI governance lead with cross-functional authority and direct board reporting line.

AI GOVERNANCE READINESS ASSESSMENT - CONFIDENTIAL

AI Governance Maturity Scorecard

Governance Profile



DIMENSION	SCORE	STATUS	COVERAGE
Strategy & Leadership	62	AMBER	8/10
Regulatory & Compliance	38	ORANGE	9/10
Risk Management	45	AMBER	8/10
Data Governance	55	AMBER	7/8
Ethics & Responsible AI	48	AMBER	8/10
Transparency & Explainability	42	ORANGE	6/8
Talent & Capability	68	LIGHT_GREEN	7/8
Operational Governance	58	AMBER	9/10

Alignment with regulatory frameworks including EU AI Act, UK DSIT, and ISO 42001.

The organisation has awareness of major regulatory frameworks but lacks operational compliance mechanisms. EU AI Act high-risk system classification has not been completed, and there is no structured conformity assessment process. UK DSIT principles are referenced in policy documents but not embedded in deployment workflows. ISO 42001 certification has not been pursued.

Key Findings

- No AI risk classification system aligned with EU AI Act categories.
- UK DSIT five principles referenced in policy but not operationalised.
- No conformity assessment process for high-risk AI systems.
- Regulatory horizon scanning is informal and ad hoc.

Gaps Identified

HIGH No EU AI Act risk classification for deployed AI systems.

HIGH Missing conformity assessment framework for high-risk AI.

MEDIUM No structured regulatory change monitoring process.

Recommendations

Complete EU AI Act risk classification for all deployed AI systems.	Effort: Medium	Impact: High
Implement conformity assessment process for high-risk AI before August 2026.	Effort: High	Impact: High
Establish quarterly regulatory horizon scanning cadence.	Effort: Low	Impact: Medium

Priority Action Plan

Showing 3 of 8 prioritised actions. The full report includes all actions across three implementation phases.

8 actions identified 3 quick wins

Quick Wins (0–3 months)

#1 Complete EU AI Act risk classification for all deployed and planned AI systems.

AI Compliance Regulatory

Effort: **Medium** Impact: **High** Timeframe: **0-3 months**

For your organisation: Cross-functional team, 1–3 months

Foundation for all subsequent regulatory compliance activities. Must be completed before conformity assessment can begin.

#2 Complete the AI register to cover 100% of internal and third-party AI systems.

AI Risk Management

Effort: **Medium** Impact: **High** Timeframe: **0-3 months**

For your organisation: Cross-functional team, 1–3 months

Cannot govern what you cannot see. The AI register is prerequisite for risk assessment, regulatory compliance, and board reporting.

#3 Establish a cross-functional AI governance committee with quarterly reporting cadence.

AI Strategy Leadership

Effort: **Low** Impact: **High** Timeframe: **0-3 months**

For your organisation: 1–2 people, 2–4 weeks

Provides structural accountability and escalation path for AI governance decisions.

Disclaimers & Legal

About This Report

This AI Governance Readiness Assessment report was generated by RevueAI's AI-powered analysis platform. Scores and recommendations are derived from questionnaire responses and uploaded documents, analysed by 6 specialist AI agents against established governance frameworks.

Methodology

The assessment evaluates eight AI governance dimensions on a 0–100 scale using a weighted scoring methodology. Dimension scores are aggregated into an overall governance score and mapped to a five-level maturity framework (Unaware → Leading). Framework alignment is assessed against EU AI Act, NIST AI RMF, ISO/IEC 42001, and UK DSIT guidance.

Limitations

This assessment is based on self-reported questionnaire responses and uploaded documentation. Results are directional and should be used as a starting point for governance improvement, not as a definitive audit or compliance certification. Scores reflect the organisation's governance posture at the time of assessment.

This report has been generated using AI based solely on the information you provided. It is intended for informational and exploratory purposes only:

This report does not constitute professional advice, including legal, regulatory, or compliance guidance

The analysis may not account for all relevant context, variables, or organisational nuances

This content should not be used as the sole basis for governance or compliance decisions

Consultation with qualified AI governance professionals is strongly recommended

Neither Revue-ai Ltd nor the AI provider accepts liability for decisions made based on this report

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This is a sample extract

The full AI Governance Readiness Assessment report is 15–20 pages and includes:

- ✓ Overall governance maturity score (0–100)
- ✓ 8-dimension maturity breakdown with per-dimension scoring
- ✓ Regulatory alignment mapping (EU AI Act, UK DSIT, ISO 42001)
- ✓ Gap analysis with severity-rated findings
- ✓ Prioritised remediation roadmap with clear ownership
- ✓ Board-ready executive summary
- ✓ Benchmarking against industry peers

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